

**PATENT**

(Practitioner's Docket No. IN-5561)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Bernhard LETTMANN et al.

Serial No.: This application is a National  
Phase of Patent Application  
PCT/EP00/08211 filed 23. August 2000.

Group Art Unit: Not Assigned

Filed: Wednesday, February 27, 2002

Examiner: Not Assigned

For: MIXED SYSTEM FOR PRODUCING WATER-DILUTABLE COATING AGENTS

**Commissioner of Patents**  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

**COPIES PROVIDED:**

- Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:
- Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [ ], filed on [ ]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- In accordance with 37 C.F.R. §1.98(c), a copy of only [ ] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

**FOREIGN LANGUAGE DOCUMENTS**

- There are no foreign language documents listed on Form PTO-1449.

Information Disclosure Statement  
Page 2

- The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.

DE4339951	CA2174663
DE2624442	CA1089140
EP608773	CA2114035
DE3832826	CA1329303
EP752455	CA2107351
DE3210051	4489135
DE3636183	5028639
DE4301991	5,672,649
DE3813866	5210154
EP158099	4594374
EP368499	4920199
DE2926584	4322325
EP089497	4489135
EP355433	5370910
EP195931	4730020
DE3628124	4851460
DE3545618	4914148
DE3841540	CA2004988

- English language abstract for the following non-English references are enclosed.

DE4005961	Abstract
DE3739332	Abstract
EP614951	Abstract
DE3321180	Abstract

- Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:
- Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:

- A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

**CERTIFICATION:**

- The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$230.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

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The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Respectfully submitted,

Reg. No.: 42,906

michael morgan  
SIGNATURE OF ATTORNEY

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Type or print name of attorney

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FORM PTO-1449  
(MODIFIED) US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5561

LIST OF ART CITED BY APPLICANT  
(Use sever of sheets if necessary)

In re Application of: Lettmann et al.

Serial No.: Herewith

Filed: Wednesday, February 27, 2002

## U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,130,577	12/19/78	Nagato et al.	260	453	10/25/77
	AB	4,439,616	3/27/84	Singh et al.	560	25	7/22/82
	AC	4,322,325	3/30/82	Esser et al.	523	457	6/30/80
	AD	4,730,020	3/8/88	Wilfinger et al	524	555	2/27/86
	AE	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
	AF	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AG	4,914,148	4/3/90	Hille et al.	524	507	12/11/86
	AH	4,558,090	12/10/85	Drexler et al.	524	591	10/2/84
	AI	4,719,132	1/12/88	Porter, Jr.	427	409	4/29/87
	AJ	4,594,374	6/10/86	Stahl et al.	523	501	2/28/85
	AK	4,920,199	4/24/90	Jarzombek et al.	528	272	11/10/88
	AL	5,370,910	12/6/94	Hille et al.	427	407.1	7/24/89
	AM	5,672,649	9/30/97	Brock et al.	524	507	9/4/96
	AN	5,210,154	5/111/93	Weidemeier et al.	525	438	4/18/89
	AO	5,028,639	7/2/91	Treutlein et al.	523	200	10/31/90
	AP	3,290,350	12/6/66	Wilmington et al.	260	453	12/27/62
	AQ						
	AR						
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	AV						
	AW						
	AX						
	AY						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".

JN Rec'd OCTATO 27 FEB 2002

LIST OF ART CITED BY APPLICANT  
(Use sever of sheets if necessary)

In re Application of: Lettmann et al.

Serial No.: Herewith

Filed: Wednesday, February 27, 2002

## FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	EP320552	18.12.87	EP	B05D	7/16	X	
	AB	EP038127	24.03.81	EP	B05D	7/26	X	
	AC	EP471972	11.07.91	EP	C09D	5/38	X	
	AD	EP101832	01.07.83	EP	C07C	125/073	X	
	AF	EP424705	04.10.90	EP	C08F	283/00	X	
	AG	DE4339951A1	01.06.95	DE	C09D	201/00		X
	AH	CA2174663	02.26.02	CA	C09D	175/04	X	
	AI	CA2107351	92.02.19	CA	C09D	7/14	X	
	AJ	CA2114035	02.26.02	CA	C09D	5/29	X	
	AK	CA1329303	02.26.02	CA	C09D	133/06	X	
	AL	CA1089140	02.26.02	CA	C09D	3/72	X	
	AM	DE4301991	28.07.94	DE	C09D	5/28		X
	AN	EP614951	05.03.94	EP	C09D	7/14		X
	AO	EP468293	29.01.92	EP	C09D	5/38	X	
	AP	EP752455	19.02.92	EP	C09D	7/14		X
	AQ	CA2004988V	09.06.90	CA	C08F	2/24	X	
	AR	DE4005961	29.8.91	DE	B05D	7/16		X
	AS	EP614951	05.03.94	EP	C09D	7/14		X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT English Abstract for DE3739332A1

AU English Abstract for DE4005961

AV English Abstract for DE3321180

AW English Abstract for EP614951A2

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".